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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. UOFMD.006A | APPLICATION NO. 09/839,894 |
| <p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>OCT 04 2001 USE SEVERAL SHEETS IF NECESSARY</p> <p>PATENT & TRADEMARK OFFICE</p> | | APPLICANT Altbaum et al. | |
| | | FILING DATE April 20, 2001 | GROUP Unknown |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
|------------------|---|-----------------|----------|----------------|-------|----------|------------------------------|
| ZL | 1 | 4,946,778 | 08/07/90 | Ladner et al. | | | |
| ZL | 2 | 6,110,898 | 08/29/00 | Malone et al. | | | |
| ZL | 3 | 6,187,344 | 02/13/01 | Eljamal et al. | | | |
| ZL | 4 | 6,190,669 | 02/20/01 | Noriega et al. | | | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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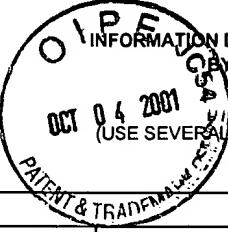
| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | |
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| ZL | 5 | Altbaum et al. (2001) Attenuated Shigella flexneri 2a ΔquaBA strain CVD 1204 expressing enterotoxigenic <i>Escherichia coli</i> (ETEC) CS2 and CS3 fimbriae as a live mucosal vaccine against shigella and ETEC infection. <i>Infection and Immunity</i> . 69(5):3150-3158. |
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| EXAMINER | <i>ZL</i> | DATE CONSIDERED | <i>7-18-02</i> |
| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. | | | |

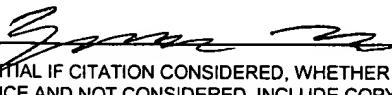
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| FORM PTO-1449 O I P E INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. UOFMD.006A | APPLICATION NO. 09/839,894 |
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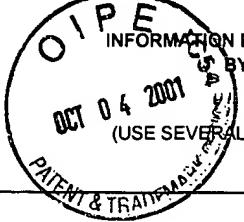
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| EXAMINER <i>James Z.</i> | DATE CONSIDERED <i>7-18-2002</i> |
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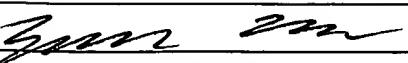
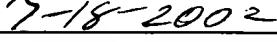
| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | | |
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| ZC | 72 | Willshaw et al. (1990) Structural and regulatory genes for coli surface associated antigen 4(CS4) are encoded by separate plasmids in enterotoxigenic <i>Escherichia coli</i> strains of serotype O25:H42. FEMS Microbiology Letters 68. 255-260. | |
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FOREIGN PATENT DOCUMENTS

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| ZL | 1 WO 96 38171 | 12/5/96 | | | | | |
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| ZL | 2 | Altbour Z et al., <i>Construction of a Bivalent Shigella-ETEC Vaccine Expressing CS2 and CS3 Pili</i> , ABSTRACT OF THE GENERAL MEETING OF THE AMERICAN SOCIETY FOR, Vol. 99, 1999, page 293, 99th General Meeting of the American Society for Microbiology; Chicago, IL |
| ZL | 3 | Altbour Z et al., <i>Construction of a Bivalent Shigella-ETEC Vaccine Expressing CS4 Pili</i> , ABSTRACT OF THE GENERAL MEETING OF THE AMERICAN SOCIETY FOR, Vol. 100, 2000, page 303, 100th General Meeting of the American Society for Microbiology; Los Angeles, CA |
| ZL | 4 | Koprowski H II et al., <i>Construction and Analysis of a Shigella Flexneri 2a/CFA/I Vaccine</i> , Abstracts of the General Meeting of the American Society For, Vol. 99, 1999, p. 293, 99th General Meeting of the American Society for Microbiology; Chicago, IL. |
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| ZL | 6 | DATABASE EBI "online", Hinxton, UK; May 7, 1996, Van Dijk |
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